

AF/3627

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| <b>TRANSMITTAL<br/>LETTER</b> | Atty Docket No: 4797/34US |
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Mail Stop: AF  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

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**GROUP 3600**

Re:     Applicant     :     Stuart Lee BRESLOW, et al.  
        Serial No.     :     09/476,448  
        Filed         :     December 30, 1999  
        Title          :     ON-LINE TRADING SYSTEM

Kindly file the annexed papers indicated below:

- ☒ Amendment and Response to Final Office Action (4 pages) w/Request for Extension of Time included
- ☒ Exhibits A through G
- ☒ Declaration of Prior Invention to Overcome a Cited Reference Under 37 C.F.R. § 1.131
- ☒ Return-receipt postcard

☐ An additional fee is required. The fee has been calculated as follows:

|                                | Claims Remaining<br>after Amendment | Claims<br>Paid for | Extra | Rate (Sm. Ent.) | Fee       | Rate    | Fee           |
|--------------------------------|-------------------------------------|--------------------|-------|-----------------|-----------|---------|---------------|
| Total                          | 9                                   | 40=                | 0     | x \$9           | \$        | x \$18  | \$0.00        |
| Indep.                         | 1                                   | 6 =                | 0     | x \$42          | \$        | x \$84  | \$0.00        |
| MULTIPLE DEPENDENT CLAIM ADDED |                                     |                    |       | + \$140         | \$        | + \$280 | \$0.00        |
| <b>TOTAL</b>                   |                                     |                    |       |                 | <b>\$</b> |         | <b>\$0.00</b> |

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to the undersigned attorney's Deposit Account No. 02-4270.

Dated: Nov. 22, 2004

Respectfully submitted,

By:

Antonio Papageorgiou, Reg. No. 53,431  
 BROWN RAYSMAN MILLSTEIN  
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 P.O. Box 1989  
 Morristown, New Jersey 07962-1989  
 (212) 895-2905

I hereby certify that this paper is being deposited this date with the  
 U.S. Postal Service as First Class Mail addressed to: Mail Stop: Amendment  
 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Antonio Papageorgiou, Reg. No. 53,431

Nov. 22, 2004

Date



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**GROUP 3600**

PATENT  
ATTORNEY DOCKET NO. 4797-34

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : BRESLOW, Stuart Lee et al.  
Serial No. : 09/476,448 Examiner: RUDY, Andrew J.  
Filed : December 30, 1999 Group Art Unit: 3627  
Title : **ON-LINE TRADING SYSTEM**

Mail Stop - AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO JUNE 21, 2004 FINAL OFFICE ACTION**  
**AND REQUEST FOR EXTENSION OF TIME**

Sir:

This Response is submitted in response to the June 21, 2004 Final Office Action in connection with the present, above-identified, application. Applicants hereby request a two-month extension of time to extend the time to file a response to the Office Action to Monday November 22, 2004, the first weekday following November 21, 2004. A check in the amount of \$430.00 is included herewith for the two-month extension of time. The Commissioner is authorized to charge any deficiency or credit any overpayment to Deposit Account No. 02-4270.

Remarks begin on Page 2 of this paper.

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